

# *Argos*: Practical Base Stations for Large-scale Beamforming

Clayton W. Shepard



# Collaborators

Hang Yu

Narendra Anand

Erran Li 

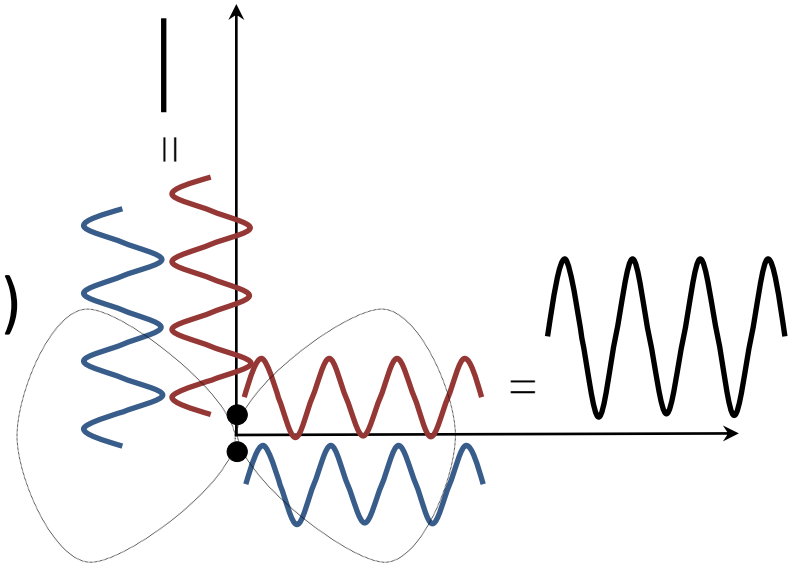
Thomas Marzetta 

Richard Yang 

Lin Zhong

# Background

- Beamforming
  - Power Gain
  - Adjust phase (“beamweights”)
  - Leverages Interference
- Open-loop
  - Pre-compute weights to specify direction
- Closed-loop (adaptive)
  - Use channel state information (CSI) to target receivers



# Background

- Single-user beamforming (SUBF)

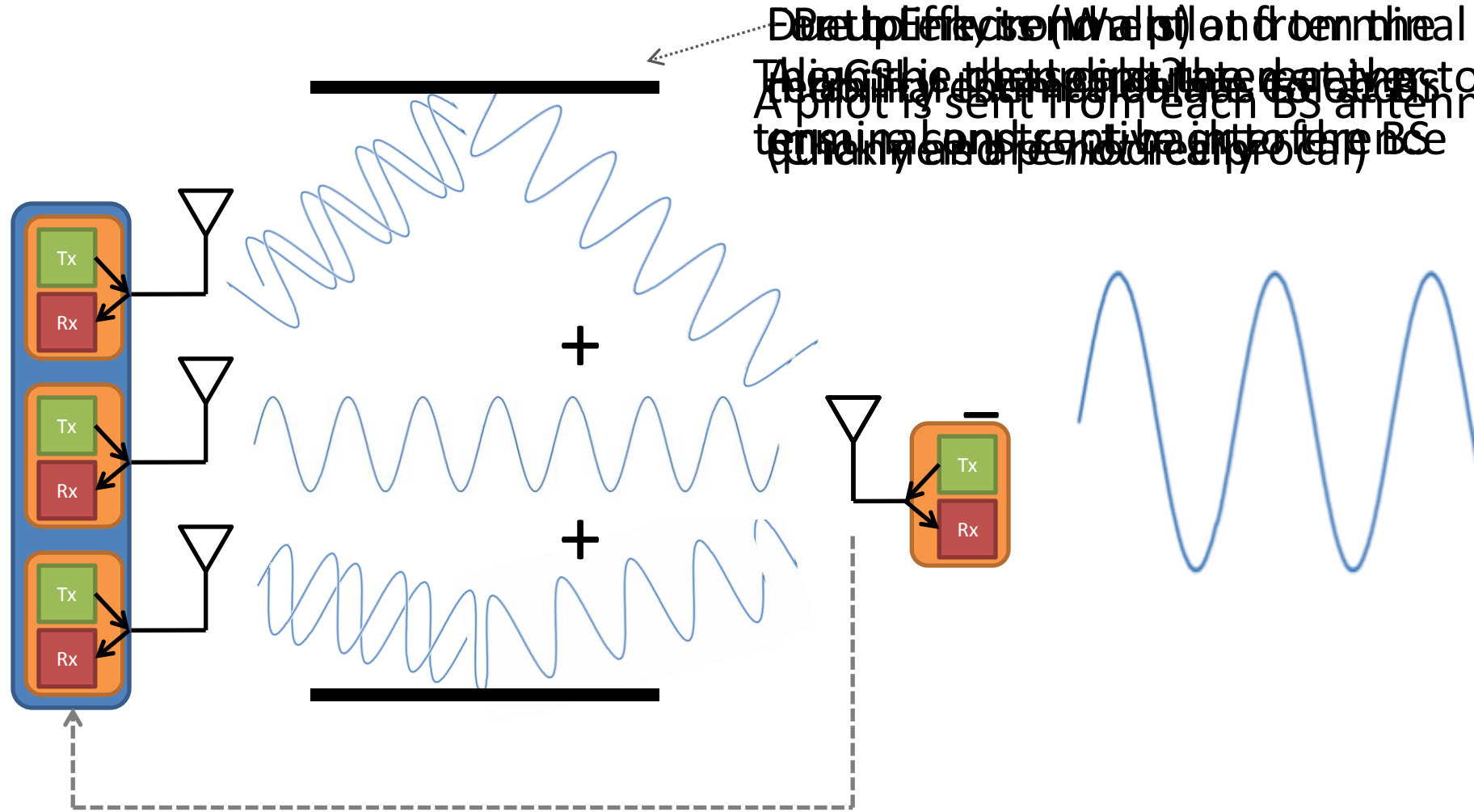
$$W_{SUBF} = c \cdot H^*$$

- Multi-user beamforming (MUBF)

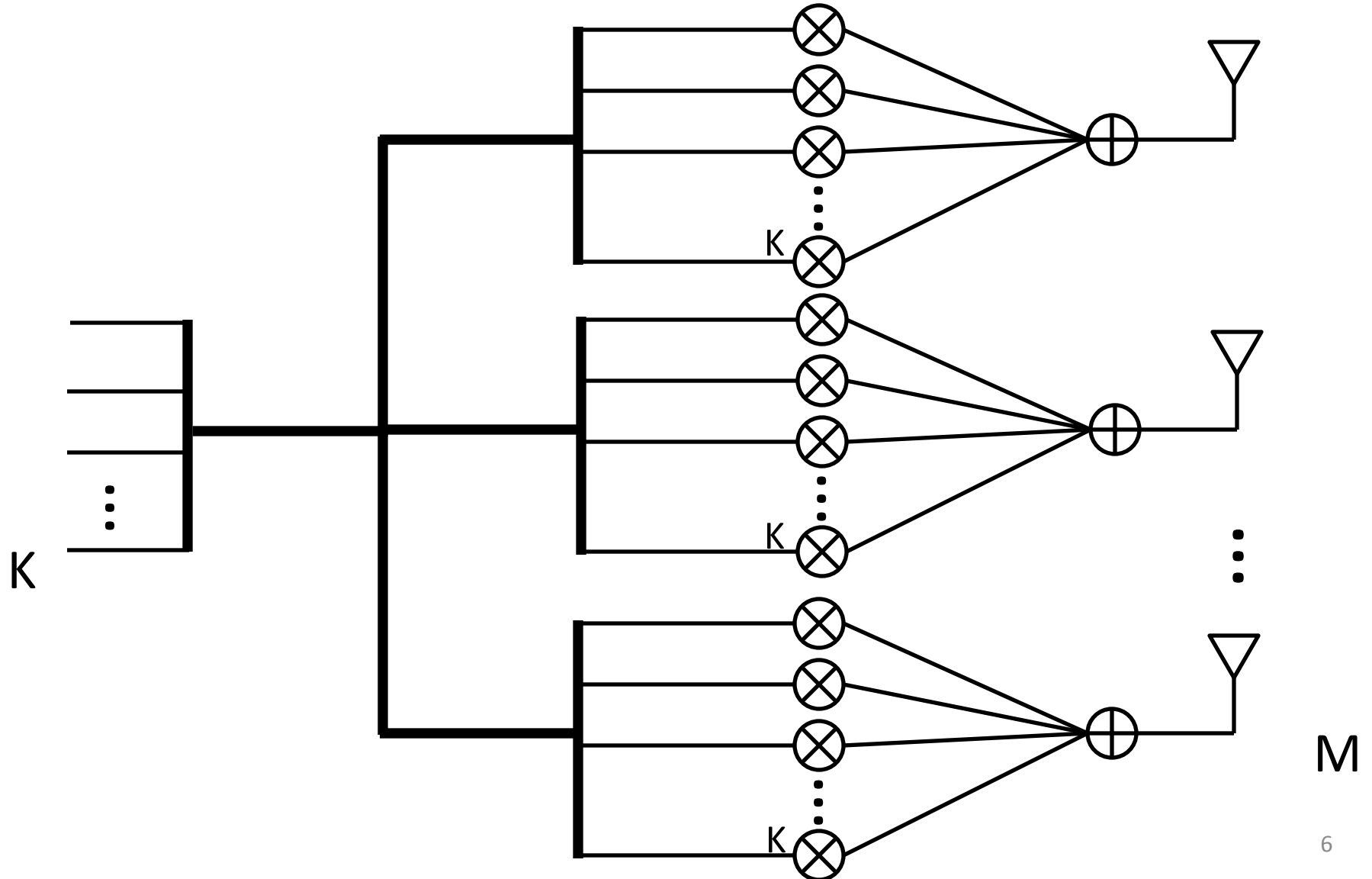
$$W_{MUBF} = c \cdot H^* (H^T H^*)^{-1}$$

# Background: Channel Estimation

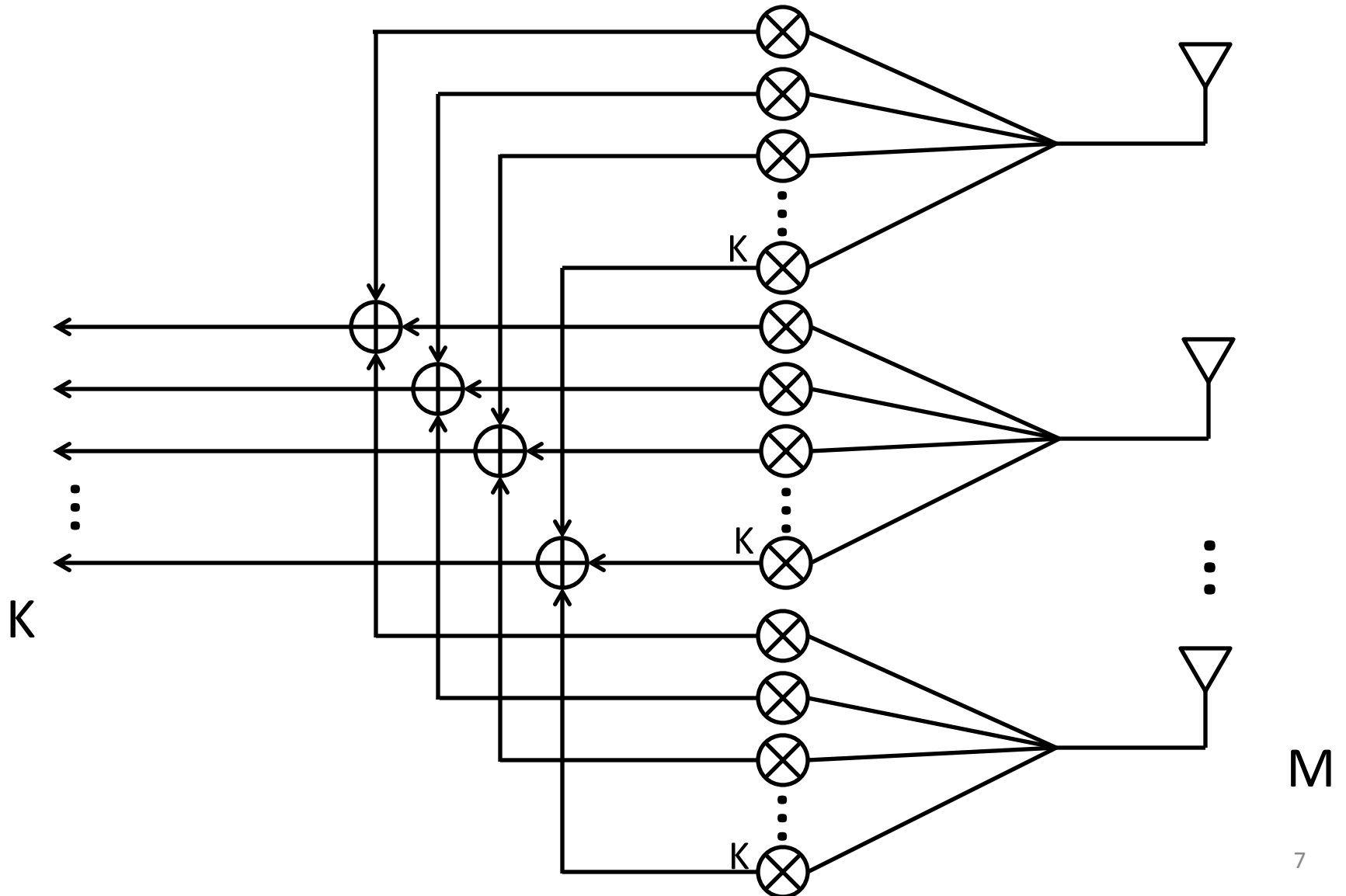
Due to reflections (multipath) on the real world this is not a simple task. A pilot is sent from each BS antenna terminal and sent back to the BS (quickly and periodically).



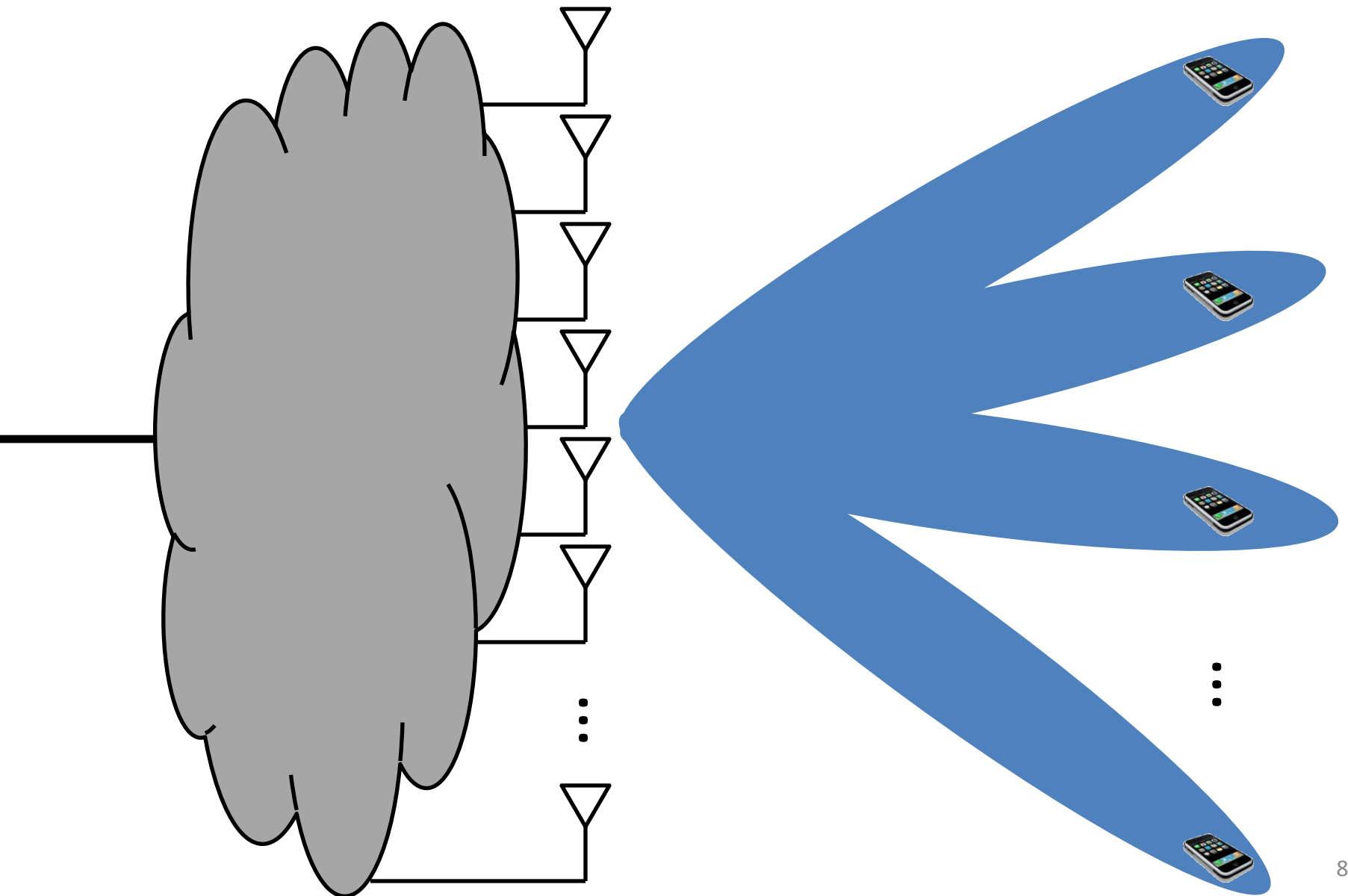
# MUBF linear pre-coding: downlink



# MUBF linear pre-coding: uplink



# Our vision





# Prior Work

- Large-scale beamforming theory
  - T.L. Marzetta. Noncooperative Cellular Wireless with Unlimited Numbers of Base Station Antennas. IEEE Transactions on Wireless Communications, Nov. 2010.
  - Fredrik Rusek and Daniel Persson and Buon Kiong Lau and Erik G. Larsson and Thomas L. Marzetta and Ove Edfors and Fredrik Tufvesson Scaling up MIMO: Opportunities and Challenges with Very Large Arrays. arXiv, Jan. 2012.
- Real-world beamforming
  - E. Aryafar, N. Anand, T. Salonidis, and E. Knightly. Design and Experimental Evaluation of Multi-user Beamforming in Wireless LANs. In Proceedings of MobiCom, 2010
- Reciprocal calibration
  - F. Kaltenberger, H. Jiang, M. Guillaud, R. Knopp. Relative channel reciprocity calibration in MIMO/TDD systems. Future Network and Mobile Summit, June 2010.

# First large-scale beamforming base station







# Overview of contributions

- Scalable architecture
- Internal reciprocity calibration
- Novel fully distributed beamforming method

Can beamforming scale with the number of base station antennas?

# Not with current techniques!

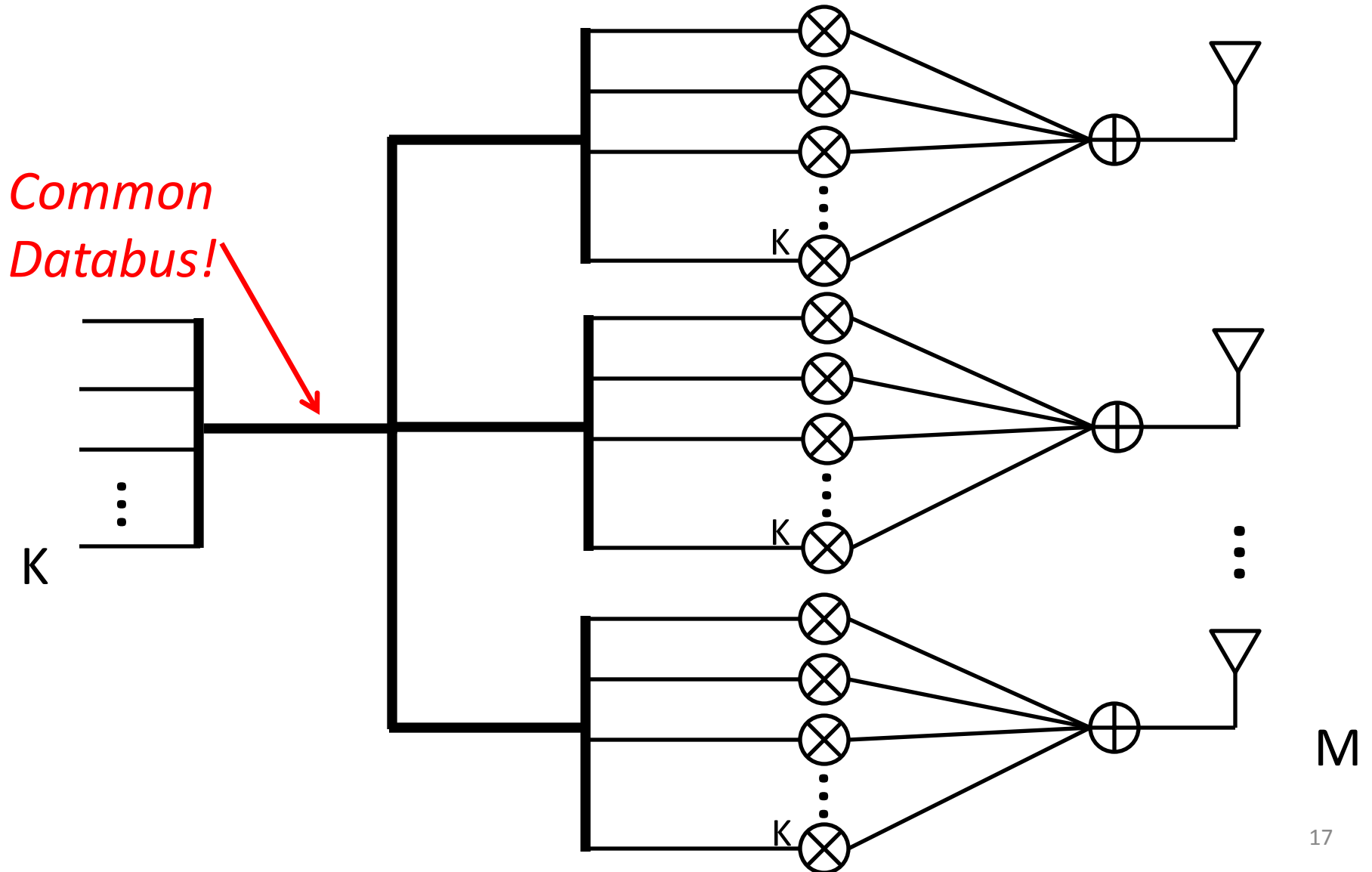
- CSI acquisition
  - Typically requires # of base station (BS) antennas ( $M$ ) + # of terminals ( $K$ ) pilots
- Weight calculation
  - All existing methods have centralized data dependency
  - Requires  $M \cdot K$  channel estimates and produces  $M \cdot K$  weight values
- Linear pre-coding
  - Produces  $M$  data streams

# With careful design and new techniques it can!

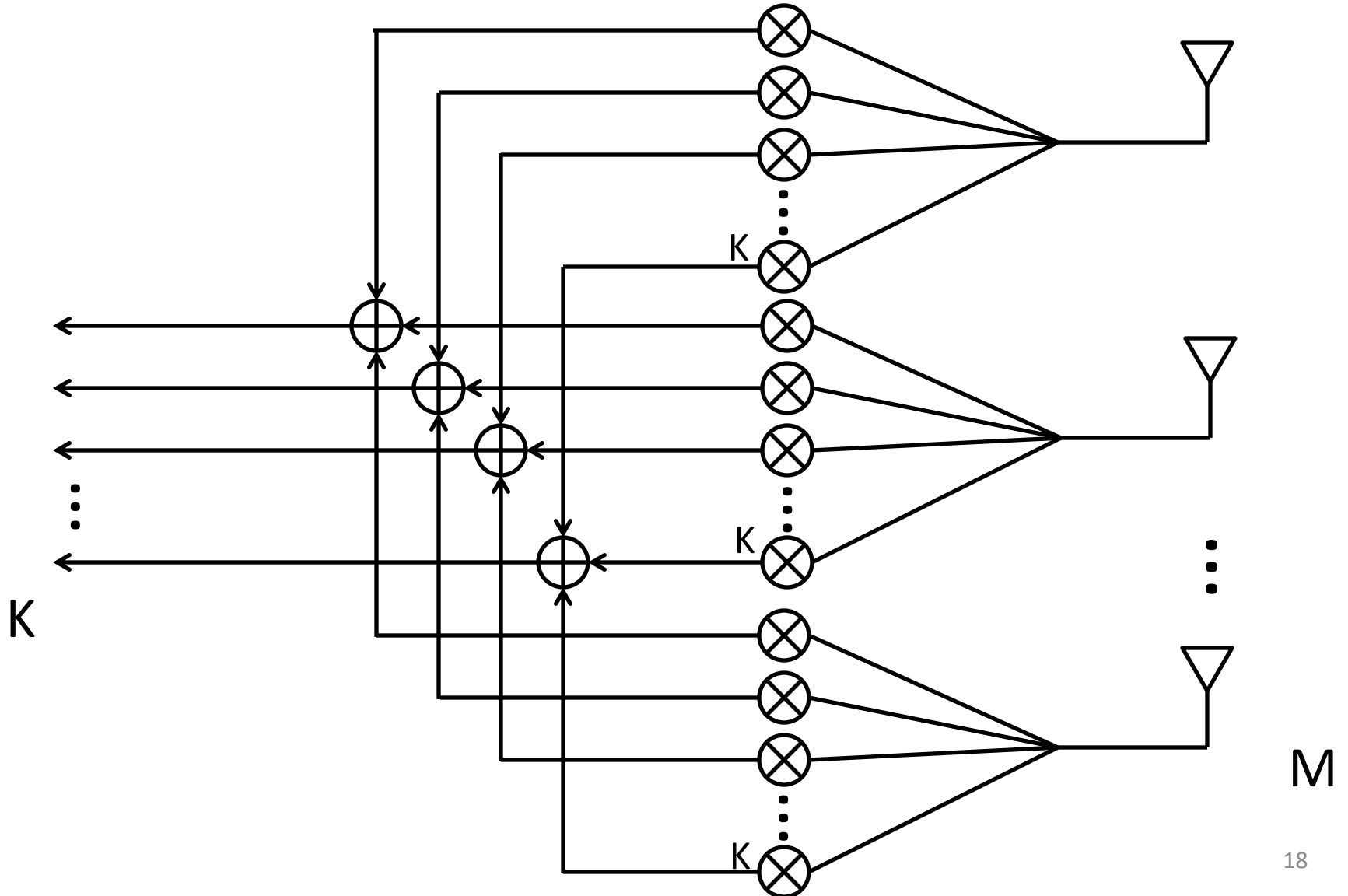
- CSI Acquisition
  - Leverage TDD reciprocity to limit pilots to  $K$
  - Requires calibration
- Weight Calculation
  - Novel decentralized weight calculation
- Linear Pre-coding
  - Apply weights at radio
  - For uplink combine streams any time they meet



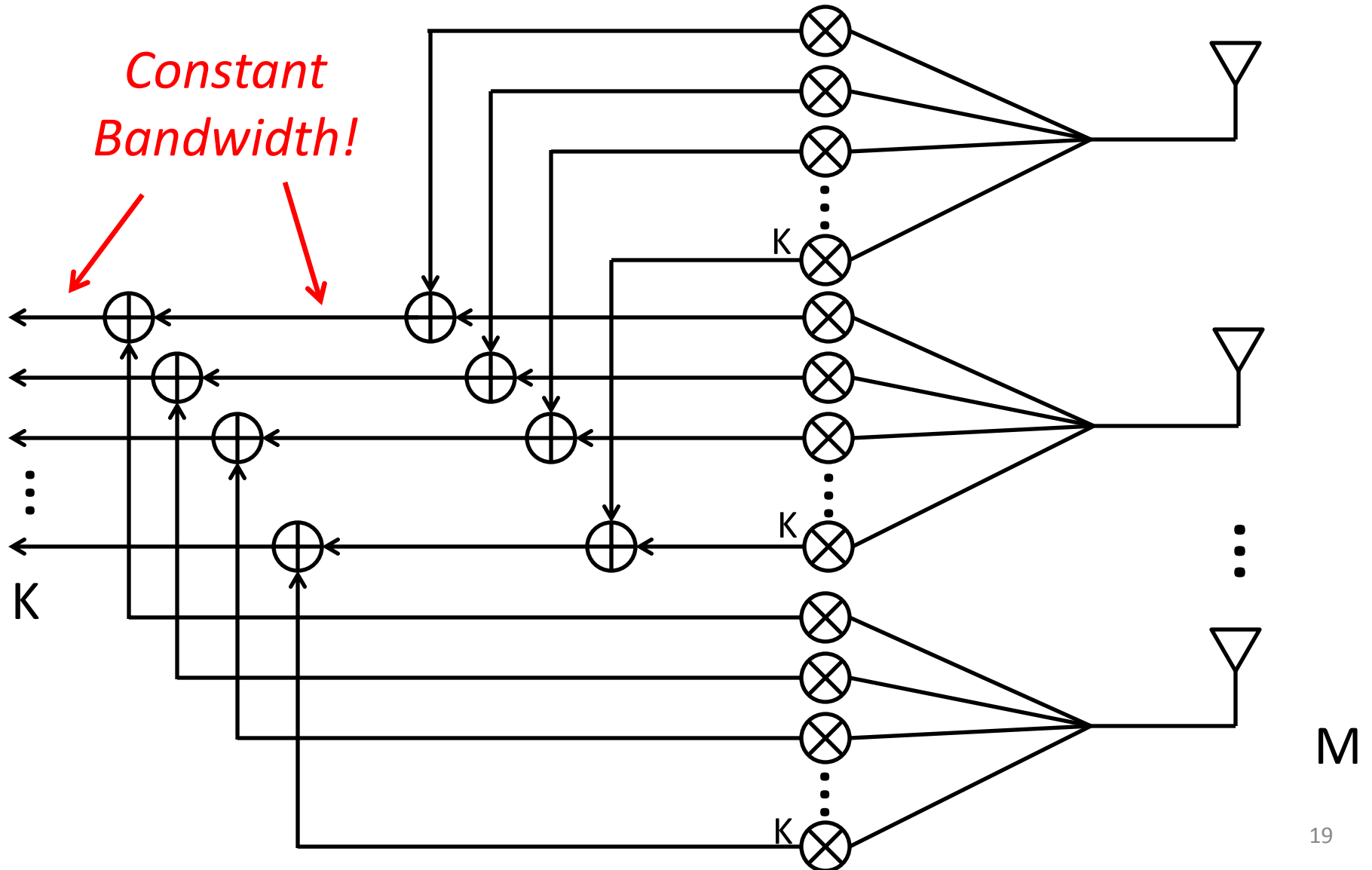
# Scalable linear pre-coding



# MUBF linear pre-coding: uplink



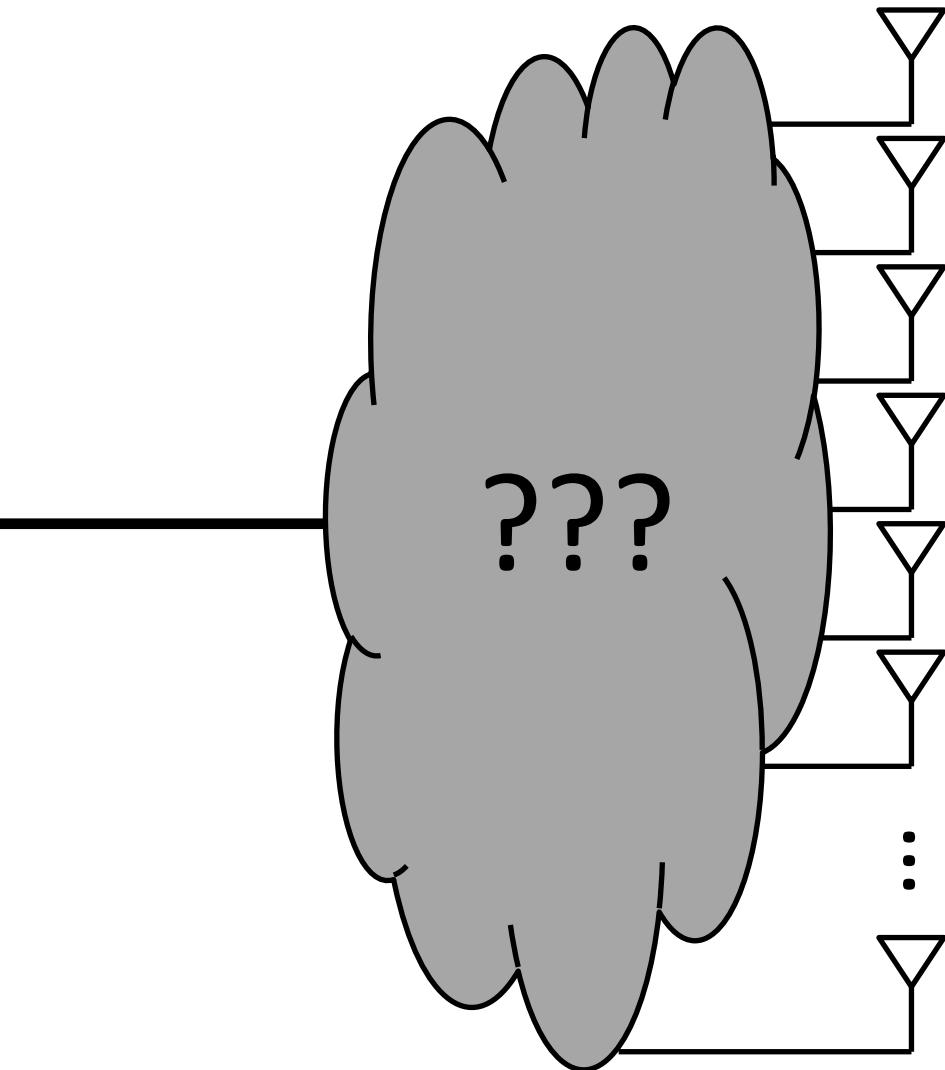
# Scalable linear pre-coding



# Ramifications

- CSI and weights are computed and applied (linear pre-coding) locally at each BS radio
  - No overhead for additional BS radios
- No central data dependency
  - No latency from data transport
  - No stringent latency requirements
  - Constant data rate common bus (no switching!)
- Unlimited scalability!

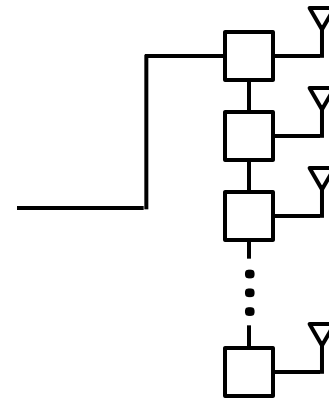
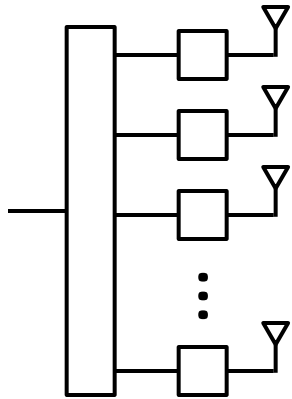
# Design goals



- Scalable
  - Support thousands of BS antennas
- Cost-effective
  - Cost scales linearly with # of antennas
- Reliable

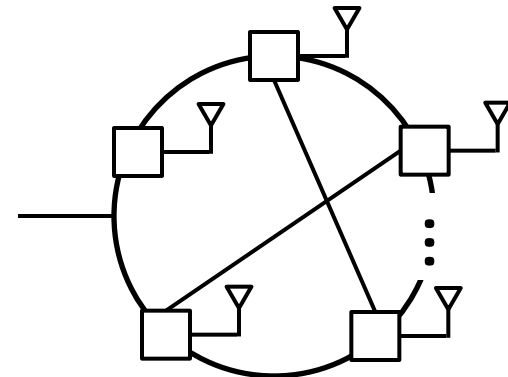
# How do we design it?

- Daisy-chain (series)
  - Unreliable
  - Large end to end latency



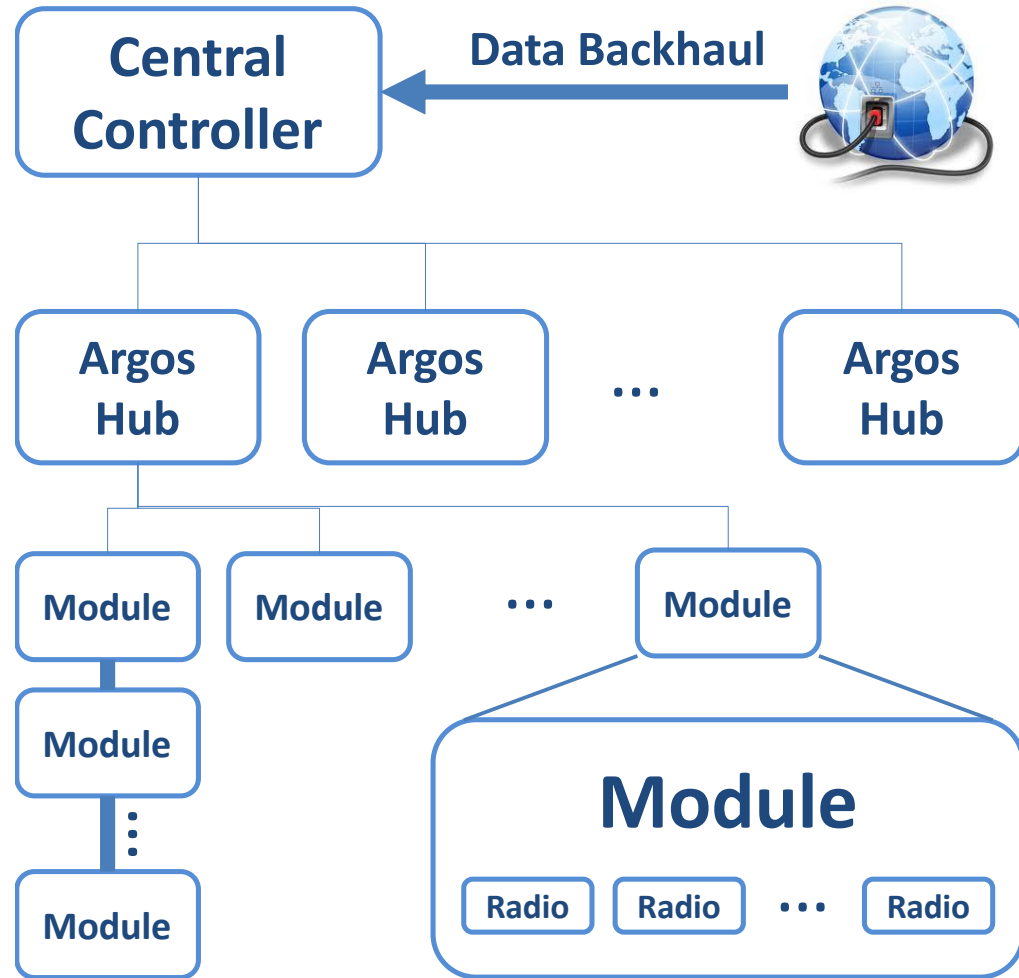
- Flat structure
  - Un-scalable
  - Expensive, with large fixed cost

- Token-ring / Interconnected
  - Not amenable to linear pre-coding
  - Variable Latency
  - Routing overhead



# Solution: Argos

- Modular
  - Daisy-chainable
  - 1 or more radios
- Hierarchical
  - Increases Reliability
  - Constrains Latency
  - Cost-effective

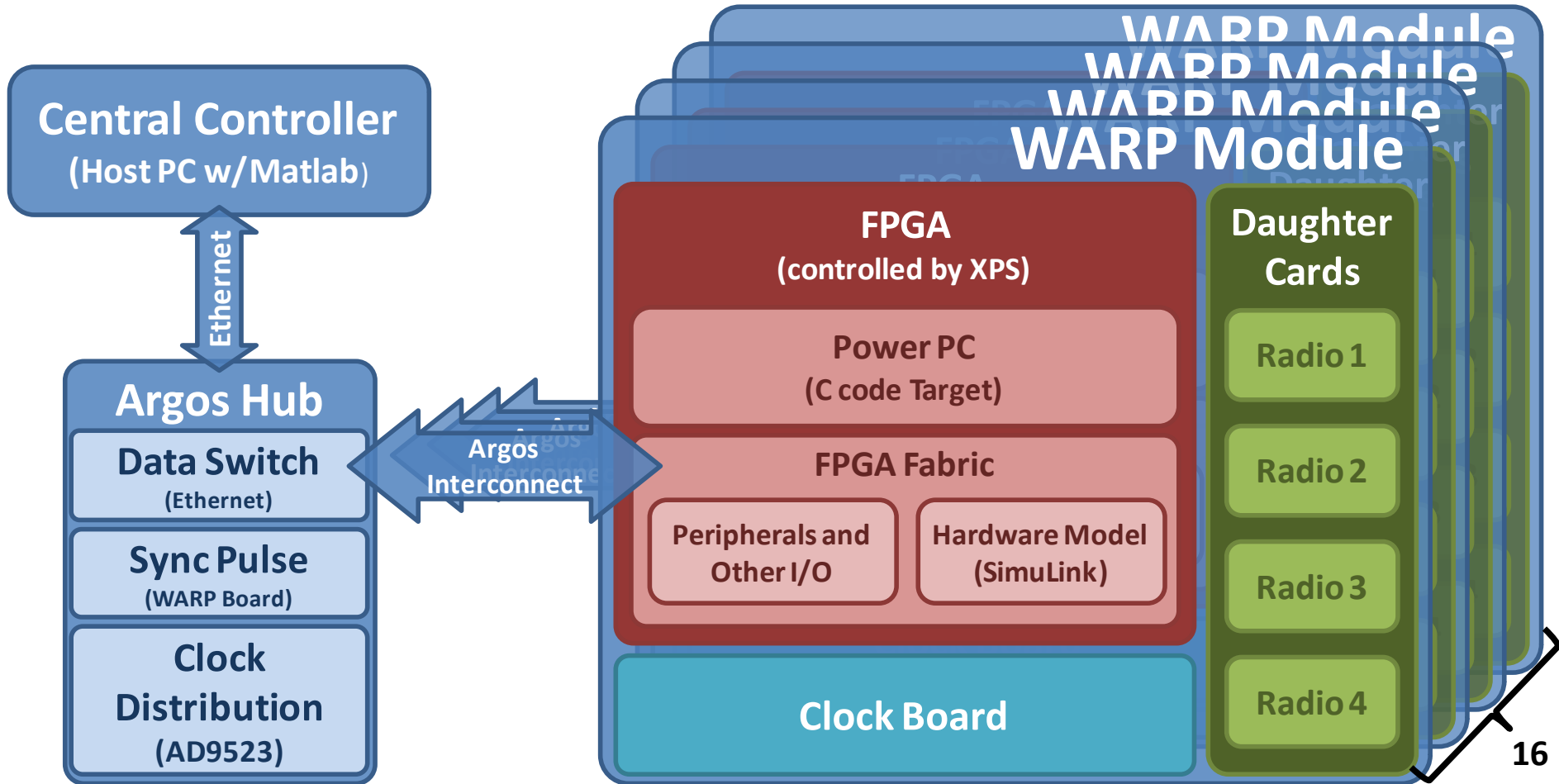


# Scalability of Argos

- Scalable in 4 directions:
  - # of Radios per Module
  - # of Modules per Chain
  - # of ports per Hub
  - # of Hubs (and levels)
- Reliable
  - Branches can fail without affecting other branches
  - Central hubs can be easily made redundant
- Accommodates linear pre-coding
  - Add samples together at every junction



# Implementation



Central  
Controller

WARP  
Modules

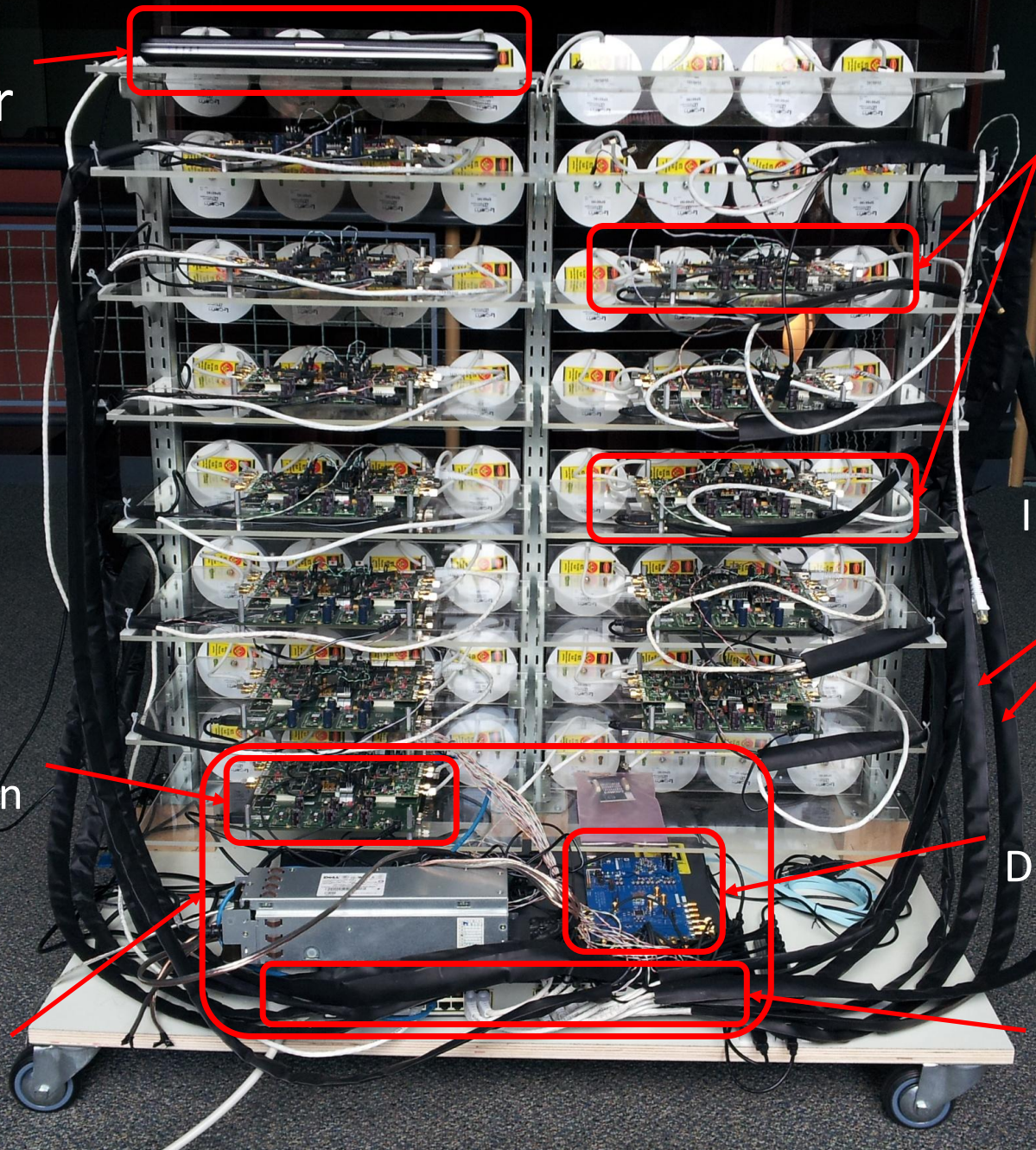
Argos  
Interconnects

Sync  
Distribution

Clock  
Distribution

Argos  
Hub

Ethernet  
Switch

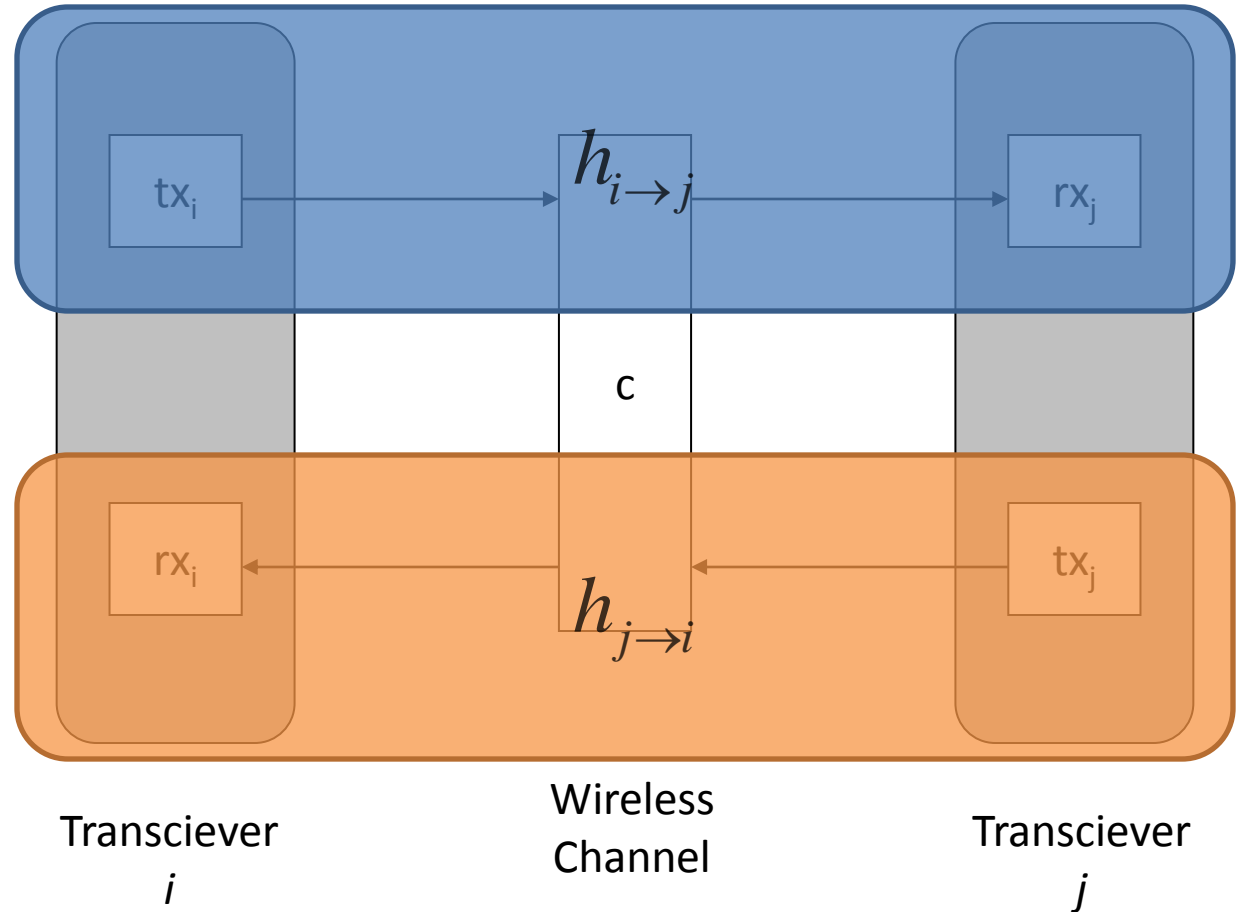


# Overview of contributions

- Scalable architecture
- **Internal reciprocity calibration**
- Novel fully distributed beamforming method

# Channel reciprocity

$$h_{i \rightarrow j} = tx_i \cdot c \cdot rx_j$$



$$h_{j \rightarrow i} = tx_j \cdot c \cdot rx_i$$



# Calibration coefficients

- Given the complete channel:  $h_{i \rightarrow j} = tx_i \cdot c \cdot rx_j$
- We define a calibration coefficient as:

$$A_{i \rightarrow j} = \frac{h_{i \rightarrow j}}{h_{j \rightarrow i}} = \frac{tx_i \cdot c \cdot rx_j}{tx_j \cdot c \cdot rx_i} = \frac{tx_i \cdot rx_j}{tx_j \cdot rx_i} = \frac{1}{A_{j \rightarrow i}}$$

- Thus:

$$h_{i \rightarrow j} = A_{i \rightarrow j} h_{j \rightarrow i} \quad \text{and} \quad A_{i \rightarrow j} = \frac{A_{1 \rightarrow j}}{A_{1 \rightarrow i}}$$

# Applying to large-scale BS

- Find  $A$  between each BS antenna and a reference antenna (1)

$$A_{1 \rightarrow m}$$

- Every BS radio listens to terminal pilot

$$h_{t \rightarrow m}$$

- Find  $A$  between reference and terminal

$$A_{1 \rightarrow t}$$

- We can derive

$$A_{m \rightarrow t} = \frac{A_{1 \rightarrow t}}{A_{1 \rightarrow m}}$$

- Now every  $h$  can be found via

$$h_{m \rightarrow t} = A_{m \rightarrow t} h_{t \rightarrow m}$$

# Key observation

- But this requires  $K+1$  pilots...
  - Even worse, it requires feedback
- A constant phase shift across the entire array does not alter the beampattern!

$$h_{m \rightarrow t} = A_{m \rightarrow t} h_{t \rightarrow m} = \frac{A_{1 \rightarrow t}}{A_{1 \rightarrow m}} h_{t \rightarrow m} \Rightarrow \frac{1}{A_{1 \rightarrow m}} h_{t \rightarrow m}$$

- Assuming  $A_{1 \rightarrow t} = 1$  results in a constant phase offset, and thus does not affect radiation pattern

# Internal calibration

- We find all  $A_{1 \rightarrow m}$  offline
  - They are static, and can be found quickly
- Send K orthogonal pilots to find all  $h_{t_k \rightarrow m}$ 
  - Used for uplink beamforming directly
- Use  $h_{m \rightarrow t} = \frac{h_{t \rightarrow m}}{A_{1 \rightarrow m}}$  for downlink beamforming



# Overview of contributions

- Scalable architecture
- Internal reciprocity calibration
- **Novel fully distributed beamforming method**

# Problem with existing methods

- Central data dependency
- Transport latency causes capacity loss
- Can not scale
  - Becomes exorbitantly expensive then infeasible

# Conjugate beamforming

- Requires global power scaling by constant:

$$\mathbf{W}_{conj} = c \cdot \mathbf{H}^*$$

- Where, e.g.:

$$c = \left( \sum_{k=1}^K \sum_{m=1}^M \|\mathbf{h}_{m,k}^2\| \right)^{-1}$$

- This creates a central data dependency

# Local conjugate beamforming

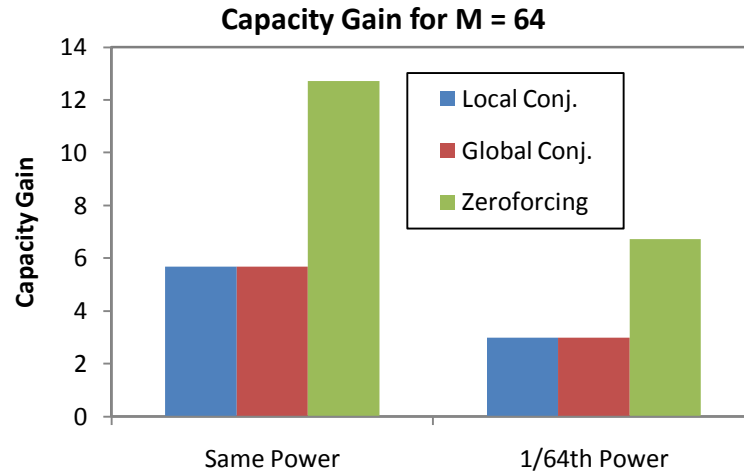
- Scale power locally:

$$c_m = \left( \sum_{k=1}^K \|\mathbf{h}_{m,k}^2\| \right)^{-1} \quad (m = 1, 2, \dots, M)$$

- Maximizes utilization of every radio
  - More appropriate for real-world deployments
- Quickly approaches optimal as K increases
  - Channels are independent and uncorrelated

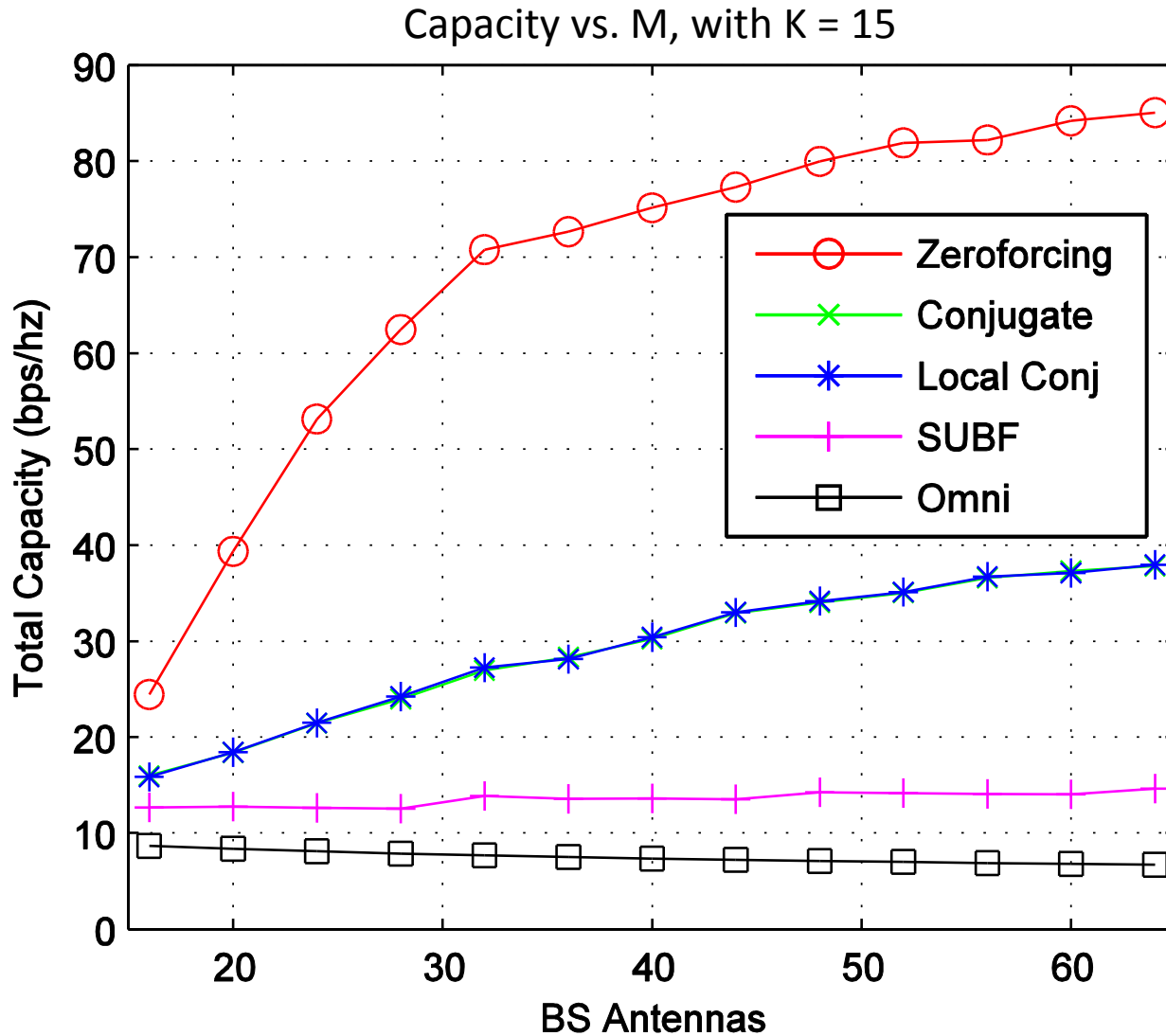
# Results

- Huge Capacity Gains



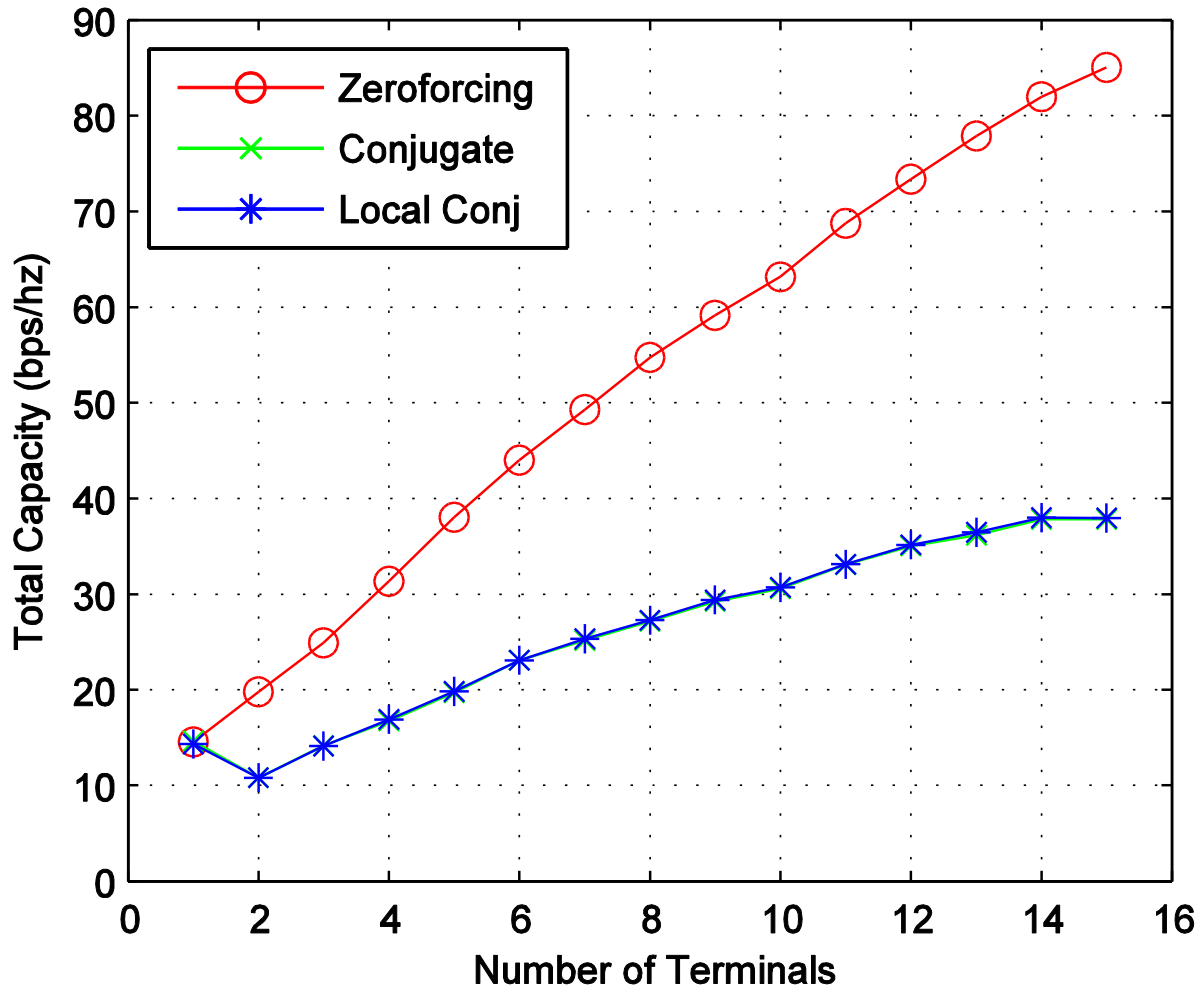
- Performance linear with  $M$  and  $K$
- Channel Calibration Stable
- Local conjugate indistinguishable from global
  - Approaches optimality quickly with  $K$

# Results: scaling M

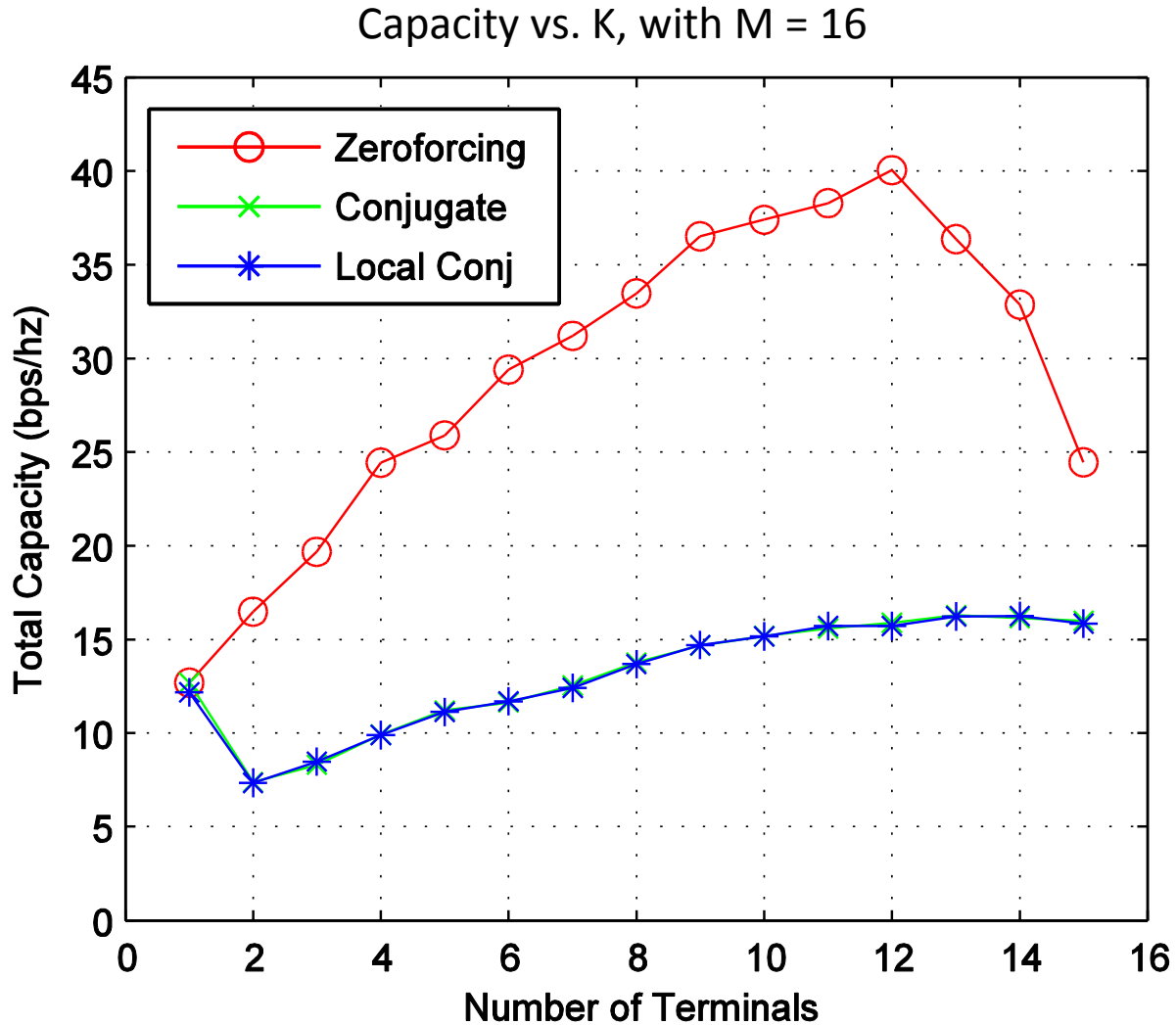


# Results: scaling K

Capacity vs. K, with M = 64

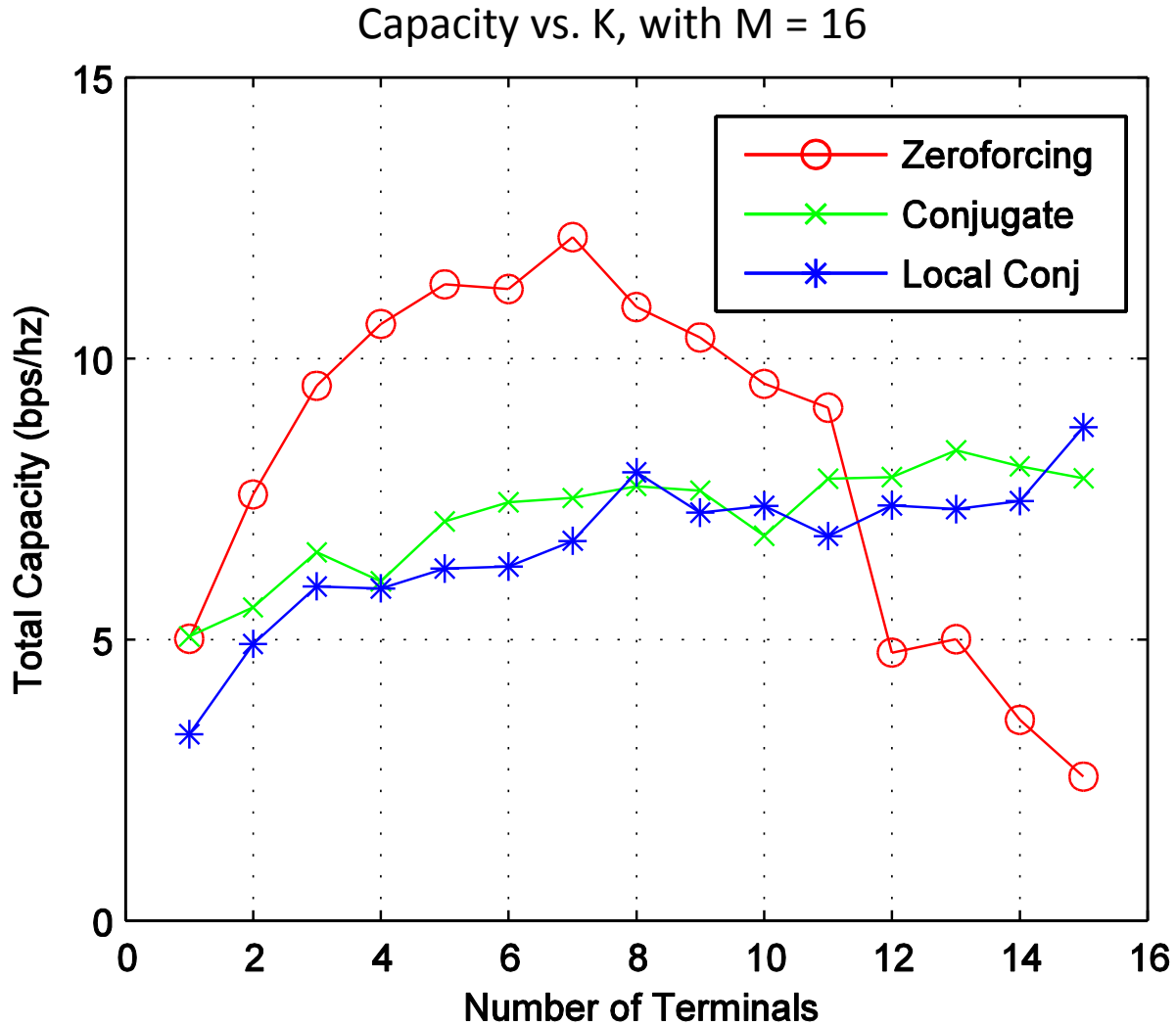


# Results: scaling K

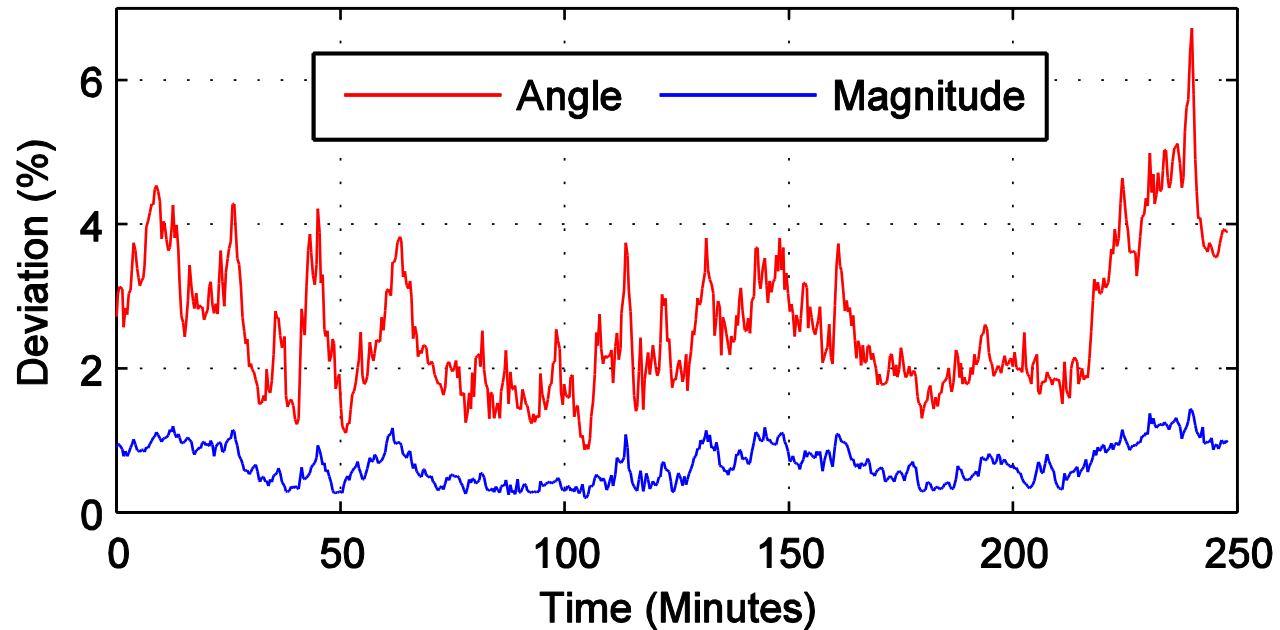




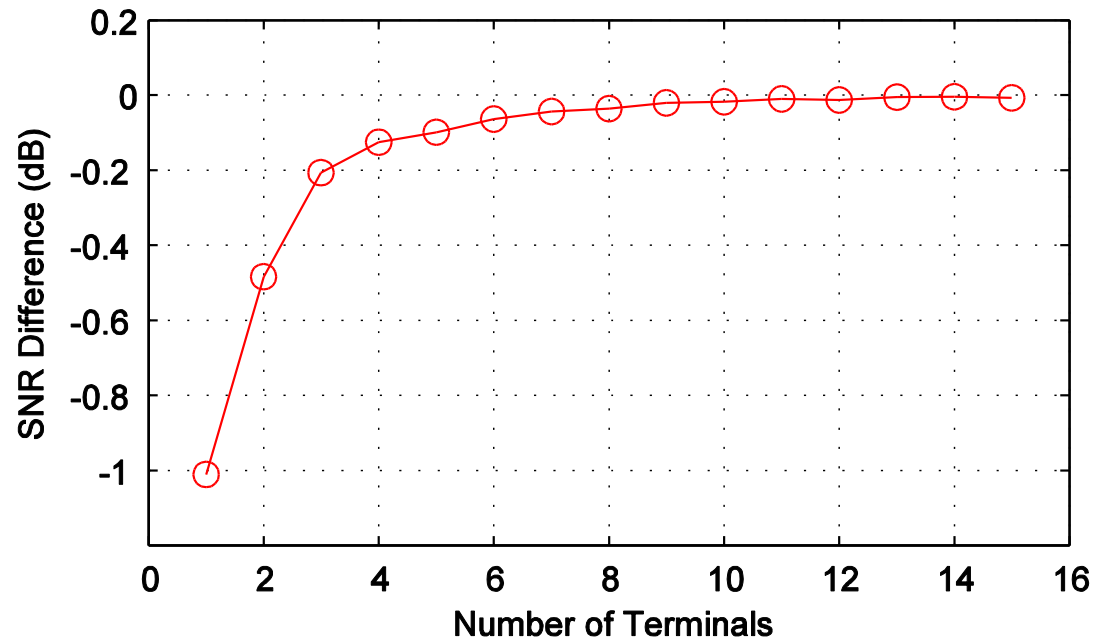
# Results: low power



# Results: calibration stability



# Results: local conjugate



# Future directions

- Find optimal tradeoff between zeroforcing and conjugate
- Demonstrate network optimality
  - Lower power reduces other-cell interference
  - Leverage cooperative beamforming
- Investigate promising match with full duplex
  - Leverage huge EIRP gains

# Conclusion

- First large-scale beamforming platform
  - Real-world demonstration of manyfold capacity increase
- Devised novel architecture and techniques
  - Unlimited Scalability

# Acknowledgements

- Theoretical Discussion and Background
  - Ashutosh Sabharwal
- WARP Support
  - Patrick Murphy, Gaurav Patel, Chris Hunter, Sidharth Gupta
- Platform Construction
  - Nathan Zuege, Chris Harris, Azalia Mirhoseini, Danny Eaton, Paul Williams